

Important Notes on Choosing Asset Management and Private Banking as Second Major

1. All courses offered by FBE have quota restriction. Students' declaration of a second major in Asset Management and Private Banking (AMPB) will NOT guarantee them a place in the relevant courses for fulfilment of the major requirements.
2. Several courses in the AMPB major have pre-requisite requirements. Students are reminded to take note of course pre-requisites and are advised to make reference to the suggested study sequence for BFin(AMPB) students (<https://ug.hkubs.hku.hk/programme/bfin-ampb>) when planning their studies.
3. Students who wish to pursue a second major in AMPB are required to undergo a competitive selection process, and the arrangements are as follows:
 - (a) Interested students are required to submit their application in mid-October. All relevant information should be included in the application documents. Any additional documents separately sent to the selection panel, Programme Director, or Deputy Programme Director will NOT be considered.
 - (b) Shortlisted candidates may be invited to an individual interview via email from the Faculty Office.
 - (c) Declaration of a second major in AMPB has been blocked by the system. Only successful students will be able to declare such via SIS during the initial course selection period or add/drop period.
4. All communications regarding the application and selection process MUST be directed through the Faculty Office. Since the Faculty Office manages the administrative and logistical aspects of the application process, students are kindly requested to refrain from contacting the Programme Director or Deputy Programme Director about administrative and logistical questions that the Faculty Office is in a better position to answer.

Note: Students pursuing a second major in AMPB may not be automatically eligible to join value-enhancing activities exclusive for BFin(AMPB) students, unless otherwise specified.

Application Period for the academic year 2025-2026: September 29, 2025 – October 19, 2025

September 2025

Requirements for 2023-24 Intake

Students are required to complete **96 credits** of prescribed courses for Major in Asset Management and Private Banking:

<u>Course code</u>	<u>Course title</u>	<u>Credits</u>
Disciplinary Core Courses (78 credits)		
ACCT1101	Introduction to financial accounting	6
ECON1210	Introductory microeconomics	6
ECON1220	Introductory macroeconomics	6
FINA1310	Corporate finance	6
XXXXxxxx	One of the following prescribed statistics courses:	6
	ECON1280 Analysis of economic data or	
	IIMT1640 Probability and statistics for business or	
	STAT1602 Business statistics or	
	STAT1603 Introductory statistics	
FINA2320	Investments and portfolio analysis	6
FINA2322	Derivatives	6
FINA2330	Financial markets and institutions	6
FINA2343	Lending and credit in private banking and corporate banking (1)	6
FINA3326	Equity valuation and investment management	6
FINA3334	Private banking and wealth management	6
FINA3383	Financial regulations and compliance	6
FINA4321	Managing money in asset management and private banking (Capstone course)	6
Disciplinary Electives (18 credits)		
– choose three of the following courses, at least one of them must be FINA2385 or FINA3385:		
FINA2334	Banking – strategies, operations and controls	
FINA2342	Insurance: theory & practice	
FINA2344	Lending and credit in private banking and corporate banking (2)	
FINA2382	Real estate finance	
FINA2385	Sustainability in business and finance	
FINA3319	Green finance and impact investing	
FINA3323	Fixed income securities	
FINA3325	Alternative investments	
FINA3327	Hedge funds: strategies, business management, and institutions	
FINA3335	Current issues in asset management and private banking industry	
FINA3336	Family office and asset owners	
FINA3337	Venture capital and private equity	
FINA3338	Multi-asset investing	
FINA3339	Private credit	
FINA3340	Risk management	
FINA3381	Behavioral finance	
FINA3384	Behavioural and sociological finance	
FINA3385	ESG investing and sustainable banking	
FINA3386	Digital assets	
MATH1009 [^] or	Basic mathematics for business and economics or	
MATH1013	University mathematics II	

[^] MATH1009 Basic mathematics for business and economics is not open to students from the Faculty of Engineering and the Faculty of Science

Requirements for 2024-25 Intake

Students are required to complete **96 credits** of prescribed courses for Major in Asset Management and Private Banking:

<u>Course code</u>	<u>Course title</u>	<u>Credits</u>
Disciplinary Core Courses (78 credits)		
ACCT1101	Introduction to financial accounting	6
ECON1210	Introductory microeconomics	6
ECON1220	Introductory macroeconomics	6
FINA1310	Corporate finance	6
XXXXxxxx	One of the following prescribed statistics courses:	6
	ECON1280 Analysis of economic data or	
	IIMT1640 Probability and statistics for business or	
	STAT1602 Business statistics or	
	STAT1603 Introductory statistics	
FINA2320	Investments and portfolio analysis	6
FINA2322	Derivatives	6
FINA2330	Financial markets and institutions	6
FINA2343	Lending and credit in private banking and corporate banking (1)	6
FINA3326	Equity valuation and investment management	6
FINA3334	Private banking and wealth management	6
FINA3383	Financial regulations and compliance	6
FINA4321	Managing money in asset management and private banking (Capstone course)	6
Disciplinary Electives (18 credits)		
– choose three of the following courses, at least one of them must be FINA2385 or FINA3385:		
FINA2342	Insurance: theory & practice	
FINA2344	Lending and credit in private banking and corporate banking (2)	
FINA2382	Real estate finance	
FINA2385	Sustainability in business and finance	
FINA3319	Green finance and impact investing	
FINA3323	Fixed income securities	
FINA3325	Alternative investments	
FINA3327	Hedge funds: strategies, business management, and institutions	
FINA3335	Current issues in asset management and private banking industry	
FINA3336	Family office and asset owners	
FINA3337	Venture capital and private equity	
FINA3338	Multi-asset investing	
FINA3339	Private credit	
FINA3340	Risk management	
FINA3381	Behavioral finance	
FINA3384	Behavioural and sociological finance	
FINA3385	ESG investing and sustainable banking	
FINA3386	Digital assets	

Notes on Course Replacement

- Double-counting is **NOT** allowed except for the following four Faculty Core Courses under the "double-major" combination:
 - ❖ *ACCT1101 Introduction to financial accounting*;
 - ❖ *ECON1210 Introductory microeconomics*;
 - ❖ *FINA1310 Corporate finance*; and
 - ❖ A statistics course as prescribed in the syllabuses.Students are required to take any free electives in lieu of the double-counted Faculty Core Courses.
- Where a course applies to more than a major or minor programme, an AMPB disciplinary elective must be taken in lieu of the overlapped courses.
- Students are not permitted to enrol in mutually exclusive courses where significant portions of the course contents overlap with each other. An AMPB disciplinary elective must be taken in lieu of the mutually exclusive course.
- Please refer to the list of mutually exclusive courses below when planning your course selection:

<i>Course code</i>	<i>Course title</i>	<i>Credits</i>	<i>Mutually exclusive courses</i>
ECON1280	Analysis of economic data	6	<ul style="list-style-type: none">• IIMT1640• STAT1601• STAT1602• STAT1603• STAT2601• STAT2901
FINA1310	Corporate finance	6	<ul style="list-style-type: none">• STAT3904
FINA2320	Investments and portfolio analysis	6	<ul style="list-style-type: none">• STAT3609• STAT3952
FINA2322	Derivatives	6	<ul style="list-style-type: none">• IMSE4110• MATH3906• STAT3618• STAT3905• STAT3910
FINA3325	Alternative investments	6	<ul style="list-style-type: none">• FINA3327
FINA3327	Hedge funds: strategies, business management, and institutions	6	<ul style="list-style-type: none">• FINA3325
IIMT1640	Probability and statistics for business	6	<ul style="list-style-type: none">• ECON1280• STAT1602• STAT1603
MATH1013	University mathematics II	6	<ul style="list-style-type: none">• MATH1821• MATH1851 & MATH1853