

**SYLLABUS FOR THE DEGREE OF
BACHELOR OF FINANCE IN ASSET MANAGEMENT AND PRIVATE BANKING
[BFIN(AMPB)]**

This syllabus applies to candidates admitted to the first year of study of the 4-year curriculum in the academic year 2024-25 and thereafter.

1. Candidates must enroll and attempt examination in not fewer than 240 credits of courses in accordance with the regulations and the syllabuses. In addition, the 240 credits of courses should be completed in the following manner:

UG 5 requirements [54 credits]	
- Languages*	18 credits
- HKU Common Core Curriculum*	36 credits
- Non-credit bearing courses as required by the University*	0 credits
Major in Asset Management and Private Banking [96 credits]	
- First year courses	30 credits
- Second year courses	36 credits
- Third year courses	18 credits
- Fourth year courses	12 credits
Free Electives [90 credits]	90 credits
Total credits for degree programme	240 credits

2. To fulfill the graduation requirement of this degree as specified by the Board of the Faculty of Business and Economics in accordance with UG 5 of the Regulations for First Degree Curricula, candidates must satisfactorily complete the credits of courses identified by an asterisk (*). Those courses include the following areas of study: English language enhancement (12 credits), Chinese language enhancement (6 credits) and Common Core courses (36 credits), and successful completion of a capstone experience and any other non-credit bearing courses as required by the University.
3. The Common Core courses are categorized under four Areas of Inquiry (AoI): “Science, Technology, and Big Data”, “Arts and Humanities”, “Global Issues”, and “China: Culture, State and Society”. Candidates must complete a total of 36 credits, comprising at least one and not more than two courses from each Area of Inquiry with not more than 24 credits of courses being selected within one academic year except where candidates are required to make up for failed credits.
4. The following courses are designated as Faculty Core courses: ACCT1101, ECON1210, FINA1310 and a statistics course, as prescribed in the syllabus. Candidates are not required to re-take Faculty Core courses where any of these courses form part of the requirements of a second major offered by the Faculty of Business of Economics, however, candidates must complete an equivalent number of credits of free electives in lieu of the Faculty Core courses.
5. The courses listed in the syllabus will not necessarily be offered every year.
6. For courses offered by the Faculty of Business and Economics, the final examination is typically 2 hours in length. Final grading is normally determined by performance in the final examination (30-50%) and coursework assessment (50-70%), except for courses with a final project/final paper which are assessed by 100% coursework.
7. Candidates may select up to four courses offered under the syllabus for the Master of Economics (MEcon) degree as third or fourth year free electives, subject to availability and the approval of the BFin(AMPB) Programme Director and the MEcon Programme Director. Each of these MEcon courses carries 6 credits, unless otherwise specified. Candidates should satisfy the requirements prescribed by the Faculty of Business and Economics.
8. Candidates must take courses in the year of study indicated in the syllabus.

9. Candidates should take note of any impermissible combinations of majors/minors listed in the document “Major/Minor Programmes offered by FBE” for the year concerned.
10. Candidates are not permitted to enroll in courses where significant portions of the course contents overlap with each other, or where the courses are mutually exclusive.

1. UG 5 requirements [54 credits]

(a) Languages* [18 credits]

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
CAES1000 [#]	Core University English*	1	6
CAES9920	Academic communication for business and economics*	2	6
CBBA9003 [^] <i>or</i>	Practical Chinese for BEcon/BEcon&Fin/BFin(AMPB)/BSc(QFin) students* <i>or</i>	3	6
CUNDxxxx [^]	Chinese Language Enhancement Programme course for non-local Putonghua/Mandarin-speaking students	1 or 2 or 3	

[#] Candidates who have achieved Level 5 or above in English Language in the Hong Kong Diploma of Secondary Education Examination, or equivalent, are exempted from this requirement, and Core University English is optional. Those who do not take this course should take an elective course in lieu, see *Regulation UG 6*.

[^] Candidates are required to successfully complete the 6-credit “CBBA9003 Practical Chinese for BEcon/BEcon&Fin/BFin(AMPB)/BSc(QFin) students”, except for

- (a) non-local Putonghua/Mandarin-speaking candidates who should take a 6-credit Chinese Language Enhancement Programme course for non-local Putonghua/Mandarin-speaking students (i.e. CUNDxxxx course); and
- (b) candidates who have not studied Chinese language during their secondary education or who have not attained the requisite level of competence in the Chinese language to take CBBA9003 should write to the Board of the Faculty to apply for exemption from the Chinese language requirement, and
- (i) take a 6-credit Cantonese or Putonghua language course offered by the School of Chinese especially for international and exchange students; or
- (ii) take an elective course in lieu.

(b) HKU Common Core Curriculum* [36 credits]

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
CCXXxxxx	3 CC courses in any three Areas of Inquiry*	1	18
CCXXxxxx	2 CC courses in any two Areas of Inquiry*	2	12
CCXXxxxx	1 CC course in any Area of Inquiry*	3	6

(c) Non-credit bearing courses as required by the University* [0 credits]

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
XXXXxxxx	Non-credit bearing courses as required by the University*	1 or 2 or 3 or 4	0

2. Major in Asset Management and Private Banking [96 credits]

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
<i>Faculty Core Courses – complete all of the following courses:</i>			
ACCT1101	Introduction to financial accounting	1	6
ECON1210	Introductory microeconomics	1	6
FINA1310	Corporate finance	1	6

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
<i>Statistics requirement – choose one of the following:</i>			
ECON1280 <i>or</i>	Analysis of economic data <i>or</i>	1	6
IIMT1640 <i>or</i>	Probability and statistics for business <i>or</i>		
STAT1602 <i>or</i>	Business statistics <i>or</i>		
STAT1603	Introductory statistics		
<i>Disciplinary Core Courses – complete all of the following courses:</i>			
ECON1220	Introductory macroeconomics	1	6
FINA2320	Investments and portfolio analysis	2	6
FINA2330	Financial markets and institutions	2	6
FINA2343	Lending and credit in private banking and corporate banking (1)	2	6
FINA2322	Derivatives	2 or 3	6
FINA3326	Equity valuation and investment management	3	6
FINA3334	Private banking and wealth management	3	6
FINA3383	Financial regulations and compliance	2 or 3	6
FINA4321	Managing money in asset management and private banking* (Capstone course)	4	6
<i>Disciplinary Electives – complete three of the following courses, at least one of them must be FINA2385 or FINA3385:</i>			
FINA2342	Insurance: theory and practice	2 or 3 or 4	6
FINA2344	Lending and credit in private banking and corporate banking (2)	2 or 3 or 4	6
FINA2382	Real estate finance	2 or 3 or 4	6
FINA2385	ESG in business and finance	2 or 3 or 4	6
FINA3319	Green finance and impact investing	2 or 3 or 4	6
FINA3323	Fixed income securities	2 or 3 or 4	6
FINA3325	Alternative investments	2 or 3 or 4	6
FINA3327	Hedge funds: strategies, business management, and institutions	2 or 3 or 4	6
FINA3335	Current issues in asset management and private banking industry	2 or 3 or 4	6
FINA3336	Institutional investment consulting and family office	2 or 3 or 4	6
FINA3337	Venture capital and private equity	2 or 3 or 4	6
FINA3338	Multi-asset investing	2 or 3 or 4	6
FINA3340	Risk management	2 or 3 or 4	6
FINA3381	Behavioural finance	2 or 3 or 4	6
FINA3384	Behavioural and sociological finance	2 or 3 or 4	6
FINA3385	Global sustainable investing and ESG integration	2 or 3 or 4	6
FINA3386	Digital assets	2 or 3 or 4	6

3. Free Electives [90 credits] – any courses except Common Core courses

REFERENCE TABLE

Year	Lang.	Common Core	Major	Free Electives	Total
I	6 credits	18 credits	30 credits	6 credits	60 credits
II	6 credits	12 credits	36 credits	6 credits	60 credits
III	6 credits	6 credits	18 credits	30 credits	60 credits
IV	-	-	12 credits	48 credits	60 credits
Total	18 credits	36 credits	96 credits	90 credits	240 credits