## SYLLABUS FOR THE DEGREE OF BACHELOR OF FINANCE IN ASSET MANAGEMENT AND PRIVATE BANKING [BFIN(AMPB)]

This syllabus applies to candidates admitted to the first year of study of the 4 -year curriculum in the academic year 2023-24 and thereafter.

1. Candidates must enroll and attempt examination in not fewer than 240 credits of courses in accordance with the regulations and the syllabuses. In addition, the 240 credits of courses should be completed in the following manner:

| UG 5 requirements [54 credits] |  |
| :---: | :---: |
| - Languages* | 18 credits |
| - HKU Common Core Curriculum* | 36 credits |
| - Non-credit bearing courses as required by the University* | 0 credits |
| Major in Asset Management and Private Banking [96 credits] |  |
| - First year courses | 30 credits |
| - Second year courses | 36 credits |
| - Third year courses | 18 credits |
| - Fourth year courses | 12 credits |
| Free Electives [90 credits] | 90 credits |
| Total credits for degree programme | 240 credits |

2. To fulfill the graduation requirement of this degree as specified by the Board of the Faculty of Business and Economics in accordance with UG 5 of the Regulations for First Degree Curricula, candidates must satisfactorily complete the credits of courses identified by an asterisk (*). Those courses include the following areas of study: English language enhancement (12 credits), Chinese language enhancement ( 6 credits) and Common Core courses (36 credits), and successful completion of a capstone experience and any other non-credit bearing courses as required by the University.
3. The Common Core courses are categorized under four Areas of Inquiry (AoI): "Science, Technology, and Big Data", "Arts and Humanities", "Global Issues", and "China: Culture, State and Society". Candidates must complete a total of 36 credits, comprising at least one and not more than two courses from each Area of Inquiry with not more than 24 credits of courses being selected within one academic year except where candidates are required to make up for failed credits.
4. The following courses are designated as Faculty Core courses: ACCT1101, ECON1210, FINA1310 and a statistics course, as prescribed in the syllabus. Candidates are not required to re-take Faculty Core courses where any of these courses form part of the requirements of a second major offered by the Faculty of Business of Economics, however, candidates must complete an equivalent number of credits of free electives in lieu of the Faculty Core courses.
5. The courses listed in the syllabus will not necessarily be offered every year.
6. For courses offered by the Faculty of Business and Economics, the final examination is typically 2 hours in length. Final grading is normally determined by performance in the final examination (30-50\%) and coursework assessment (50-70\%), except for courses with a final project/final paper which are assessed by $100 \%$ coursework.
7. Candidates may select up to four courses offered under the syllabus for the Master of Economics (MEcon) degree as third or fourth year free electives, subject to availability and the approval of the BFin(AMPB) Programme Director and the MEcon Programme Director. Each of these MEcon courses carries 6 credits, unless otherwise specified. Candidates should satisfy the requirements prescribed by the Faculty of Business and Economics.
8. Candidates must take courses in the year of study indicated in the syllabus.
9. Candidates should take note of any impermissible combinations of majors/minors listed in the document "Major/Minor Programmes offered by FBE" for the year concerned.
10. Candidates are not permitted to enroll in courses where significant portions of the course contents overlap with each other, or where the courses are mutually exclusive.

## 1. UG 5 requirements [54 credits]

(a) Languages* [18 credits]

| Course code | Course title | Year of study | Credits |
| :--- | :--- | :---: | :---: |
| CAES1000* | Core University English* | 1 | 6 |
| CAES9920 | Academic communication for business and <br> economics* | 2 | 6 |
| CBBA9003^ or | Practical Chinese for BEcon/BEcon\&Fin/ <br> BFin(AMPB)/BSc(QFin) students* or | 3 | 6 |
| CUND9002^ or | Practical Chinese and Hong Kong society or | 1 or 2 or 3 |  |
| CUND9003 | Cantonese for Non-Cantonese speaking students | 1 or 2 or 3 |  |

\# Candidates who have achieved Level 5 or above in English Language in the Hong Kong Diploma of Secondary Education Examination, or equivalent, are exempted from this requirement, and Core University English is optional. Those who do not take this course should take an elective course in lieu, see Regulation UG 6.
$\wedge$ Putonghua-speaking students must take CUND9002 or CUND9003. Students who have not studied the Chinese language during their secondary education or who have not attained the requisite level of competence in the Chinese language to take CBBA9003 should write to the Board of the Faculty to apply for exemption from the Chinese language requirement and take a 6 -credit Cantonese or Putonghua language course offered by the School of Chinese especially for international and exchange students, or take a free elective course, in lieu of CBBA9003. If a Cantonese or Putonghua language course offered by the School of Chinese or a free elective course is taken in lieu of CBBA9003, it may be taken in any year of study.
(b) HKU Common Core Curriculum* [36 credits]

| Course code | Course title | Year of study | Credits |
| :--- | :--- | :---: | :---: |
| CCXXxxxx | 3 CC courses in any three Areas of Inquiry* | 1 | 18 |
| CCXXxxxx | 2 CC courses in any two Areas of Inquiry* | 2 | 12 |
| CCXXxxxx | 1 CC course in any Area of Inquiry* | 3 | 6 |

(c) Non-credit bearing courses as required by the University* [0 credits]

| Course code | Course title | Year of study | Credits |
| :--- | :--- | :---: | :---: |
| XXXXxxxx | Non-credit bearing courses as required by the <br> University* | 1 or 2 or 3 or 4 | 0 |

2. Major in Asset Management and Private Banking [96 credits]

| Course code | Course title | Year of study | Credits |
| :---: | :---: | :---: | :---: |
| Faculty Core Courses - complete all of the following courses: |  |  |  |
| ACCT1101 | Introduction to financial accounting | 1 | 6 |
| ECON1210 | Introductory microeconomics | 1 | 6 |
| FINA1310 | Corporate finance | 1 | 6 |
| Statistics requirement - choose one of the following: |  |  |  |
| ECON1280 or | Analysis of economic data or | 1 | 6 |
| IIMT1640 or | Probability and statistics for business or |  |  |
| STAT1602 or | Business statistics or |  |  |
| STAT1603 | Introductory statistics |  |  |
| Disciplinary Core Courses - complete all of the following courses: |  |  |  |
| ECON1220 | Introductory macroeconomics | 1 | 6 |


| Course code | Course title | Year of study | Credits |
| :---: | :---: | :---: | :---: |
| FINA2320 | Investments and portfolio analysis | 2 | 6 |
| FINA2330 | Financial markets and institutions | 2 | 6 |
| FINA2343 | Lending and credit in private banking and corporate banking (1) | 2 | 6 |
| FINA2322 | Derivatives | 2 or 3 | 6 |
| FINA3326 | Equity valuation and investment management | 3 | 6 |
| FINA3334 | Private banking and wealth management | 3 | 6 |
| FINA3383 | Financial regulations and compliance | 2 or 3 or 4 | 6 |
| FINA4321 | Managing money in asset management and private banking* (Capstone course) | 4 | 6 |
| Disciplinary Electives - complete three of the following courses, at least one of them must be FINA2385 or FINA3385: |  |  |  |
| MATH1009 or | Basic mathematics for business and economics or | 1 or 2 | 6 |
| MATH1013 | University mathematics II |  |  |
| FINA2334 | Banking - strategies, operations and controls | 2 or 3 or 4 | 6 |
| FINA2342 | Insurance: theory and practice | 2 or 3 or 4 | 6 |
| FINA2344 | Lending and credit in private banking and corporate banking (2) | 2 or 3 or 4 | 6 |
| FINA2382 | Real estate finance | 2 or 3 or 4 | 6 |
| FINA2385 | ESG in business and finance | 2 or 3 or 4 | 6 |
| FINA3319 | Green finance and impact investing | 2 or 3 or 4 | 6 |
| FINA3323 | Fixed income securities | 2 or 3 or 4 | 6 |
| FINA3325 | Alternative investments | 2 or 3 or 4 | 6 |
| FINA3336 | Investment consulting, institutional business and family office | 2 or 3 or 4 | 6 |
| FINA3337 | Venture capital and private equity | 2 or 3 or 4 | 6 |
| FINA3338 | Multi-asset investing | 2 or 3 or 4 | 6 |
| FINA3340 | Risk management | 2 or 3 or 4 | 6 |
| FINA3381 | Behavioural finance | 2 or 3 or 4 | 6 |
| FINA3384 | Behavioural and sociological finance | 2 or 3 or 4 | 6 |
| FINA3385 | Global sustainable investing and ESG integration | 2 or 3 or 4 | 6 |

3. Free Electives [90 credits] - any courses except Common Core courses

## REFERENCE TABLE

| Year | Lang. | Common Core | Major | Free Electives | Total |
| :---: | :---: | :---: | :---: | :---: | :---: |
| I | 6 credits | 18 credits | 30 credits | 6 credits | 60 credits |
| II | 6 credits | 12 credits | 36 credits | 6 credits | 60 credits |
| III | 6 credits | 6 credits | 18 credits | 30 credits | 60 credits |
| IV | - | - | 12 credits | 48 credits | 60 credits |
| Total | 18 credits | 36 credits | 96 credits | 90 credits | 240 credits |

