

**SYLLABUS FOR THE DEGREE OF  
BACHELOR OF FINANCE IN ASSET MANAGEMENT AND PRIVATE BANKING  
[BFIN(AMPB)]**

This syllabus applies to candidates admitted to the first year of study of the 4-year curriculum in the academic year 2018-19 and thereafter.

1. Candidates must enroll and attempt examination in not fewer than 240 credits of courses in accordance with the regulations and the syllabuses. In addition, the 240 credits of courses should be completed in the following manner:

UG 5 requirements [54 credits]	
Languages*	18 credits
HKU Common Core Curriculum*	36 credits
Major in Asset Management and Private Banking [96 credits]	
- First year Core courses	30 credits
- Second year Core courses	36 credits
- Third year Core courses	18 credits
- Fourth year Core courses	12 credits
Free Electives [90 credits]	90 credits
Total credits for degree programme	240 credits

2. To fulfill the graduation requirement of this degree as specified by the Board of the Faculty of Business and Economics in accordance with UG 5 of the Regulations for the First Degree Curricula, candidates must satisfactorily complete the credits of courses identified by an asterisk (\*). Those courses include the following areas of study: English language enhancement (12 credits), Chinese language enhancement (6 credits) and Common Core courses (36 credits), and successful completion of a capstone experience.
3. The Common Core courses are categorized under four Areas of Inquiry (AoI): Scientific and Technological Literacy, Humanities, Global Issues, and China: Culture, State and Society. Candidates must complete a total of 36 credits, selecting not more than one course (6 credits) in each Area of Inquiry in any academic year (except for making up for failed credits); and at least one course (6 credits) and not more than two courses (12 credits) in each Area of Inquiry during the first three years of study.
4. The following courses are designated as Faculty Core courses: ACCT1101, ECON1210, FINA1310 and a statistics course, as prescribed in the syllabus. Candidates are not required to re-take Faculty Core courses where any of these courses form part of the requirements of a second major offered by the Faculty of Business of Economics, however, candidates must complete an equivalent number of credits of free electives in lieu of the Faculty Core courses.
5. The courses listed in the syllabus will not necessarily be offered every year.
6. For courses offered by the Faculty of Business and Economics, the final examination is normally 2 hours in length. Final grading will normally be determined by the final examination (30-60%) and coursework (40-70%) except for final project/final papers which will be assessed by 100% coursework.
7. Candidates may select up to four courses offered under the syllabus for the Master of Economics (MEcon) degree as third or fourth year free electives, subject to availability and the approval of the BFin(AMPB) Programme Director and the MEcon Programme Director. Each of these MEcon courses carries 6 credits, unless otherwise specified. Candidates should satisfy the requirements prescribed by the Faculty of Business and Economics.
8. Candidates must take courses in the year of study indicated in the syllabus.
9. Candidates should take note of any impermissible combinations of majors/minors listed in the document "Major/Minor Programs offered by FBE" for the year concerned.

10. Candidates are not permitted to enroll in courses where significant portions of the course contents overlap with each other, or where the courses are mutually exclusive.

**1. UG 5 requirements [54 credits]**

- (a) Languages\* [18 credits]

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
CAES1000 <sup>#</sup>	Core University English*	1	6
CAES9920	Academic communication for business and economics*	2	6
CBBA9003 <sup>^</sup> <i>or</i>	Practical Chinese for BEcon/BEcon&Fin/BSc(QFin) students* <i>or</i>	3	6
CUND9002 <sup>^</sup> <i>or</i>	Practical Chinese and Hong Kong society <i>or</i>	1 or 2 or 3	
CUND9003 <sup>^</sup>	Cantonese for Non-Cantonese speaking students	1 or 2 or 3	

<sup>#</sup> Candidates who have achieved Level 5 or above in English Language in the Hong Kong Diploma of Secondary Education Examination, or equivalent, are exempted from this requirement, and Core University English is optional. Those who do not take this course should take an elective course in lieu, see *Regulation UG 6*.

<sup>^</sup> Putonghua-speaking students must take CUND9002 or CUND9003. Students who have not studied the Chinese language during their secondary education or who have not attained the requisite level of competence in the Chinese language to take CBBA9003 should write to the Board of the Faculty to apply for exemption from the Chinese language requirement and take a 6-credit Cantonese or Putonghua language course offered by the School of Chinese especially for international and exchange students, or take a free elective course, in lieu of CBBA9003. If a Cantonese or Putonghua language course offered by the School of Chinese or a free elective course is taken in lieu of CBBA9003, it may be taken in any year of study.

- (b) HKU Common Core Curriculum\* [36 credits]

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
CCXXxxxx	3 CC courses in any three Areas of Inquiry*	1	18
CCXXxxxx	2 CC courses in any two Areas of Inquiry*	2	12
CCXXxxxx	1 CC course in any Area of Inquiry*	3	6

**2. Major in Asset Management and Private Banking [96 credits]**

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
<b><i>Introductory Level Courses</i></b>			
ACCT1101	Introduction to financial accounting	1	6
ECON1210	Introductory microeconomics	1	6
ECON1220	Introductory macroeconomics	1	6
FINA1310	Corporate finance	1	6
MATH1009 <i>or</i>	Basic mathematics for business and economics <i>or</i>	1	6
MATH1013	University mathematics II		
ECON1280 <i>or</i>	Analysis of economic data <i>or</i>	1 or 2	6
STAT1603	Introductory statistics		
<b><i>Advanced Level Courses</i></b>			
FINA2320	Investments and portfolio analysis	2	6
FINA2330	Financial markets and institutions	2	6
FINA2343	Lending and credit in private banking and corporate banking	2	6
FINA3326	Equity valuation and investment management	3	6
FINA3334	Private banking and wealth management	3	6
FINA3383	Ethics, laws, regulations and compliance	3 or 4	6

<i>Course code</i>	<i>Course title</i>	<i>Year of study</i>	<i>Credits</i>
FINA4321	Managing money in asset management and private banking* (Capstone course)	4	6
<i>Choose any three of the following courses</i>			
FINA2322	Derivatives	2 or 3	6
FINA2342	Insurance: theory and practice	2 or 3 or 4	6
FINA2382	Real estate finance	2 or 3 or 4	6
FINA3325	Alternative investments	2 or 3 or 4	6
FINA3340	Risk management	2 or 3 or 4	6
FINA3381	Behavioral finance	2 or 3 or 4	6

**3. Free Electives [90 credits]** – any courses except Common Core courses

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**REFERENCE TABLE**

<b>Year</b>	<b>Lang.</b>	<b>Common Core</b>	<b>Major (Core)</b>	<b>Free Electives</b>	<b>Total</b>
<b>I</b>	6 credits	18 credits	30 credits	6 credits	60 credits
<b>II</b>	6 credits	12 credits	36 credits	6 credits	60 credits
<b>III</b>	6 credits	6 credits	18 credits	30 credits	60 credits
<b>IV</b>	-	-	12 credits	48 credits	60 credits
<b>Total</b>	18 credits	36 credits	96 credits	90 credits	240 credits